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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐ Declaration
Submitted
with Initial
Filing

OR

☒ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number 870572.00003

First Named Inventor Hsin-I Cinny Tsai

COMPLETE IF KNOWN

Application Number 10/757,951

Filing Date January 15, 2004

Art Unit 3634

Examiner Name

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

BATHING ENCLOSURE SLIDING DOOR PANELS
HAVING COMPLEMENTARY VISUAL CHARACTERISTICS

(Title of the invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 01/15/2004

as United States Application Number or PCT International

Application Number 10/757,951 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
|--|---------|-------------------------------------|--------------------------|--------------------------|--------------------------|
| | | | | YES | NO |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number **26710** OR ☐ Correspondence address below

Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle (if any))

Hsin-I Cinny

Family Name Tsai
or SurnameInventor's
Signature

Date

06-22-04

Residence: City Sheboygan

State WI

Country USA

Citizenship Taiwan
(H.T.)

Mailing Address 880 North Water Street, Apt. 310 619 Whitcomb Ave.

Mailing Address

City Sheboygan

State WI

ZIP 53081

Country USA

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle (if any))Family Name
or SurnameInventor's
Signature

Date

Residence: City

State

Country

Citizenship

Mailing Address

Mailing Address

City

State

ZIP

Country

☐ Additional inventors are being named on the _____ supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

[Page 2 of 2]

5521717

Sterling Doors



**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

(06-03)
Approved for use through 11/30/2005, OMB 0651-0035
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| | |
|------------------------|---|
| Application Number | 10/757,951 |
| Filing Date | January 15, 2004 |
| First Named Inventor | Hsin-I Cinny Tsai |
| Title | Bathing Enclosure Sliding Door Panels H |
| Art Unit | 3634 |
| Examiner Name | — |
| Attorney Docket Number | 870572.00003 |

I hereby appoint:



Practitioners at Customer Number:

26710

OR



Practitioner(s) named below:

| Name | Registration Number |
|------|---------------------|
| | |
| | |
| | |
| | |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:



The above-mentioned Customer Number:

OR



The address associated with Customer Number:

OR



Firm or Individual Name

Address

Address

City

Country

Telephone

State

Zip

Fax

I am the:



Applicant/Inventor.



Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name

Natalie A. Black

Signature

Date

6/22/04

Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.



Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Doc. No. 5521795

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PTO/SB/86 (08-00)
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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Hsin-I Canny Tsal-Applcant/Kohler Co. - Assignee
Application No./Patent No.: 10/757,951 Filed/Issue Date: January 15, 2004
Entitled: Bathing Enclosure Sliding Door Panels Having Complementary Visual Characteristics
Kuhler Co. a Wisconsin corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
 2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %
- in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

June 22, 2004
Date

Natalie A. Black for Kohler Co.

Typed or printed name

Natalie A. Black
Signature

Secretary

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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ASSIGNMENT

WHEREAS, I, Hsin-I Cinny Tsai of Sheboygan, Wisconsin, have made certain inventions which are described in an application for Letters Patent entitled BATHING ENCLOSURE SLIDING DOOR PANELS HAVING COMPLEMENTARY VISUAL CHARACTERISTICS, which was filed in the United States Patent and Trademark Office on January 15, 2004 as U.S. patent application no. 10/757,951, and

WHEREAS, Kohler Co., a Wisconsin corporation having a place of business at Kohler, Wisconsin (hereinafter called "Company"), is desirous of acquiring the entire interest in said inventions throughout the United States of America and the territories thereof and for all foreign countries.

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, receipt whereof is hereby acknowledged, I hereby sell, assign and transfer unto said Company, its successors and assigns, the entire right, title and interest throughout the United States of America and the territories thereof and for all foreign countries, in and to said inventions, the aforesaid application, and all other applications hereafter filed in the United States or any other country based in whole or in part on said inventions, and all Letters Patent granted upon said applications by the United States or any other country, and I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said Company. I further grant to said Company, its successors and assigns, the right to claim for any of said applications the full benefits and priority rights of any international agreement between the United States and any foreign country or countries.

I hereby warrant that I have the full right to make the conveyance herein, and I hereby covenant that I, my heirs, legal representatives and assigns, will, when requested, communicate to said Company, its representatives, successors and assigns, all facts known respecting said inventions, execute all divisional, continuing, reissue, reexamination and foreign applications together with individual assignments therefore, make all rightful oaths, sign all lawful papers, testify in any legal proceeding and generally do everything possible to aid said Company, its successors and assigns, in the obtaining of Letters Patent.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal at the location and on the date indicated below my signature.

WITNESSES:

[Signature]
[Signature]

HSIN-I CINNY TSAI

[Signature] (SEAL)

Dated: 06-22-04

STATE OF WISCONSIN)
) ss.
SHEBOYGAN COUNTY)

Before me on this 22 day of June, 2004, came Hsin-I Cinny Tsai, to me known to be the person named in the foregoing Assignment and acknowledged the execution thereof to be his/her free act and deed.

(SEAL)

Michelle L. J.
Notary Public, State of Wisconsin
My Commission: 10/28/07